

**Gambling Act 2005**

**Your guide to compliance visits by Authorised Local Authority Officers – Betting (off-course ie betting shops)**

**About this leaflet**

This leaflet is intended to provide basic information to the holders of premises licences and permits under the *Gambling Act 2005*, and the staff who are responsible for the management of licensed gambling premises. The leaflet also provides information about the nature of compliance visits to your premises by Authorised Local Authority Officers.

It may be useful to share this leaflet with other employees in the business.

**Who is responsible for compliance and enforcement of the Gambling Act 2005?**

For the most part, responsibility for compliance and enforcement of the *Gambling Act 2005* is shared between the Gambling Commission and local Councils (Local Authorities), who are referred to as Licensing Authorities’ in the Act.

The Gambling Commission is primarily responsible for the operating and personal licences and Licensing Authorities for premises licences and permits. Licensing Authorities will tend to focus on the impact of gambling provision on local communities while the Commission’s attention will more often be focused at a national level.

The Gambling Commission and Licensing Authorities also work together to ensure compliance and to promote the licensing objectives as set out in the Act. These are:

* preventing gambling from being a source of crime or disorder, being associated with crime or disorder or being used to support crime,
* ensuring that gambling is conducted in a fair and open way, and
* protecting children and other vulnerable persons from being harmed or exploited by gambling.

**Ensuring compliance with the Gambling Act 2005**

One of the key methods used by the Licensing Authority to achieve compliance with the *Gambling Act 2005* is to carry out visits to licensed premises. Other methods may include the periodic review of premises licences and taking enforcement action when required, which can range from issuing written warnings to actual prosecutions.

When visiting your premises, the Authorised Local Authority Officer will be looking to check on your compliance with the *Gambling Act 2005*, premises licence conditions, and the codes of practice.

A list of the compliance matters is provided overleaf. However, please note that this list is not definitive, as there may be other related compliance matters which the visit may need to address.

**How often will your premises be visited?**

The Licensing Authority cannot provide you with a definitive statement of how often your premises will be visited. However, you can be assured that visits are risk-based. This means that if your premises is perceived to be ‘low risk’ it will receive fewer visits than those premises perceived to be ‘high risk’. High risk rated premises may be those where there are factors such as reports of underage gambling or previous breaches of premises licence conditions and codes of practice. Low risk rated premises will generally be those which have demonstrated compliance with premises licence conditions and codes of practice.

**Legal powers of Authorised Local Authority Officers to enter your premises**

* Authorised Local Authority Officers can inspect your premises for assessing compliance with the terms and conditions of your licences under the *Gambling Act 2005.*
* They can also inspect any part of the premises, any machine or other thing or question any person on the premises.
* They can require access to any written or electronic record which is kept on the premises, or require that a copy is provided.
* The Officer can also remove or retain anything if they reasonably believe that it constitutes or contains evidence of the commission of an offence under the *Gambling Act 2005*, or a breach of a term or condition of the premises licence.
* Officers may also use reasonable force in order to enter the premises for inspection purposes, should it be necessary.
* It should be noted that it is an offence if a person, without reasonable excuse, obstructs or fails to cooperate with an Authorised Local Authority Officer who is exercising powers under Part 15 (‘Inspection’) of the Gambling Act 2005.
* It is also an offence to provide false information without reasonable excuse to the Authorised Local Authority Officer.

**Identification requirements**

When the Authorised Local Authority Officer visits your premises they will provide proof of their identity (and authority) to a person appearing to be the occupier, or having responsibility for the management of the premises. Anyone accompanying the Officer will also provide evidence of their identity.

**Complaints**

Our Officers will always endeavour to comply to the highest professional standards while visiting your premises; however, if you feel you have a complaint about the inspection of your premises, you should contact the Authorised Local Authority Officer’s manager or Head of Service in the first instance and your complaint will be investigated.

If you are still not satisfied you can use the Local Authority’s formal complaints procedure. Contact details of the Council are provided below.

In cases of maladministration you can also make a complaint to the Local Government Ombudsman (<http://www.lgo.org.uk/>).

**Written inspection record provided by the Authorised Local Authority Officer under the Gambling Act 2005 (SI 2007/ 319)**

Any of the following persons may, following the inspection, make a request in writing for a written record of the inspection to be provided:

* Gambling Commission
* Person present at the time of the inspection and who appears to the Authorised Local Authority Officer to be responsible for the management of the premises, or occupies the premises
* The premises licence holder
* Person who occupies the premises or is responsible for their management at the time of the inspection

The request can be made by sending a written request to the following postal or email address:

\*\*Please amend before issuing\*\*

X Council address

[licensing@xcouncil.gov.uk](mailto:licensing@xcouncil.gov.uk)

Date and time of the inspection………………………………………………………………………

Person to whom this statement was provided………………………………………………………

Authorised Local Authority Officer …………………………………………………………………...

Signature of Authorised Local Authority Officer…………………………………………………….

**Outcome of the inspection**

□ A letter will be sent in due course outlining the inspection findings and remedial action (if any) required.

□ Based on the scope of the inspection, and evidence reviewed at the time, no remedial action is required.

**Further information**

Gambling Commission website: [www.gamblingcommission.gov.uk](http://www.gamblingcommission.gov.uk)

**Compliance matters which the visit may address**

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| **Premises licence condition** |
| Summary of the premises licence shall be displayed in a prominent place within the premises. (mandatory condition – SI 2007 / 1409/ SSI 2007 / 266) |
| The layout of the premises shall be maintained in accordance with the plan. (mandatory condition - SI 2007 / 1409/ SSI 2007 / 266) |
| The premises shall not be used for the sale of tickets in a private lottery or the sale of tickets in any other lottery (the National Lottery) in respect of which the sale of tickets on the premises is otherwise prohibited. In England and Wales, the sale of tickets for a customer lottery is also prohibited. (mandatory condition - SI 2007 / 1409/ SSI 2007 / 266) |
| A notice stating that no person under the age of 18 is permitted to enter the premises shall be displayed in a prominent place at every entrance to the premises. (mandatory condition - SI 2007 / 1409/ SSI 2007 / 266) |
| Access to the premises shall be from a street or from other premises with a betting premises licence. Apart from this there must be no direct access between the premises and other premises which are used. for the retail sale of merchandise or services (mandatory condition - SI 2007 / 1409/ SSI 2007 / 266) |
| The premises shall not be used for any purpose other than for providing facilities for betting apart from anything permitted under the Gambling Act 2005 and having an ATM, permitted visual/sound apparatus and permitted publications. (mandatory condition - SI 2007 / 1409/ SSI 2007 / 266) |
| Any ATM made available for use on the premises shall be located in a place that requires any customer who wishes to use it to cease gambling at any gaming machine in order to do so. (mandatory condition - SI 2007 / 1409/ SSI 2007 / 266) |
| No apparatus for making information or other material available in the form of sounds or visual images may be used on the premises, except for apparatus used for the following purposes:  a) Communicating information about, or coverage of, sporting events, including-  (i) information relating to betting on such an event; and  (ii) any other matter of information, including an advertisement, which is incidental to such an event;  b) Communicating information relating to betting on any event (including the result of an event) in connection with which betting transactions may be or have been effected on the premises.  (mandatory condition - SI 2007 / 1409/ SSI 2007 / 266) |
| No publications, other than racing periodicals or specialist betting publications, may be sold or offered for sale on the premises. (mandatory condition - SI 2007 / 1409/ SSI 2007 / 266) |
| No music, dancing or other entertainment shall be provided or permitted on the premises, save for entertainment provided via the sound / visual apparatus referred to above. (mandatory condition - SI 2007 / 1409/ SSI 2007 / 266) |
| No alcohol shall be permitted to be consumed on the premises at any time during which facilities for gambling are being provided on the premises. A notice to this effect shall be displayed at every entrance to the premises in a prominent place In Scotland the notice must also specifically state that alcohol cannot be sold on the premises. (mandatory condition - SI 2007 / 1409/ SSI 2007 / 266) |
| A notice setting out the terms on which customers are invited to bet on the premises shall be displayed in a prominent place on the premises to which customers have unrestricted access. In Scotland this notice must be displayed at every entrance to the premises. (mandatory condition - SI 2007 / 1409/ SSI 2007 / 266) |
| Hours of operation – No facilities for gambling shall be provided on the premises between the hours of 10pm on one day and 7am on the next day. (default condition - SI 2007 / 1409/ SSI 2007 / 266) |
| Additional premises licence conditions attached by the licensing authority:  Note: where a condition is attached to a premises licence to require door supervisors, if the Private Security and Industry Act 2001 means that they must be SIA registered then that also becomes a condition of the premises licence. (GA05 Section 178) |
| **Gambling Act 2005 legislation (GA05)** |
| Gaming machines permitted: total of 4 gaming machines which can be Category B2, B3, B4, C and D (ie 4 of either Category or a mix up to a total of 4). (GA05 Section 172 and SI 2007 / 2158)  Category B2 = £2 stake / £500 money prize  Category B3 = £2 stake / £500 money prize  Category B4 = £2 stake / £400 money prize  Category C = £1 stake / £100 money prize  Category D = 10p stake / £5 money prize or 30p stake / £8 non-money prize or 10p stake / £8 prize of which £5 maximum is money.  Note: stakes may be paid by cash or non-cash forms of payment, except that credit cards cannot be used directly or indirectly to pay for any gaming machine use and debit cards may only be used as an indirect form of payment eg to purchase a token or smart card that is subsequently put in the machine.  (SI 2007 / 2158 and SI 2007 / 2319) |
| Premises licence shall be kept on the premises and available on request by police, enforcement officer or authorised local authority officer. (GA05 Section 185) |
| No gambling on Christmas Day. (GA05 Section 183) |
| Protection of Children & Young Persons - Offence if invite, causes or permit a person under 18 to gamble. (GA05 Section 46) |
| Offence if invites or permit a person under 18 from entering premises where the premises licence has effect. (GA05 Section 47) |
| Offence if employ:   * a person under 18 to provide facilities for gambling (GA05 Section 51) * a person under 18 to perform any function on the premises where gaming machines are sited or in connection with a gaming machine (GA05 Section 54) * a person under 18 to perform any function on the premises where a betting premises licence has effect and where the gambling activity is being carried on (GA05 Section 55) |
| **Social Responsibility Code Provisions (SRCP) and Ordinary Code Provisions (OC)** |
| Does the licensee contract any third parties in relation to their licensed activities? Is a commitment to taking responsibility for third party operators evident? (SRCP 1.1) |
| Can the licensee evidence an annual financial contribution to one or more organisation(s) which between them research into the prevention and treatment of gambling-related harm, develop harm prevention approaches and identify and fund treatment to those harmed by gambling. (SRCP 3.1) |
| Does the licensee have and put into effect policies and procedures designed to prevent underage gambling? (SRCP 3.2) |
| Is information readily available to customers on how to gamble responsibly and seek help in respect of problem gambling? (SRCP 3.3) |
| Has the licensee put into effect policies and procedures for customer interaction where they have concerns that a customer may be at risk of or experiencing harms associated with gambling (SRCP 3.4) |
| Does the licensee have and put into effect procedures for self-exclusion? (SRCP 3.5) |
| Does the licensee offer the ability for customers to exclude from similar local venues through participation in a multi-operator exclusion scheme? (SRCP 3.5.6) |
| Licensees may only accept credit cards for gambling where the payment is made into a customer account and funds are only made available for gambling when the card issuer has approved the transaction (SRCP 3.7) |
| Does the Licensee have full rules available that set out the core elements for the acceptance and settlement of bets? (SRCP 4) |
| Are the terms and conditions of any customer incentive or reward schemes clearly set out and readily available to customers? (SRCP 5) |
| Has the licensee put into effect appropriate policies and procedures for accepting and handling customer complaints and disputes in a timely, fair, open and transparent manner? (SRCP 6) |
| Has the licensee ensured that employees involved in the provision of facilities for gambling are made aware of advice on socially responsible gambling and where to get help should their own gambling become hard to control? (SRCP 7) |
| Are there substantive facilities for non-remote betting available in the premises, is there appropriate supervision of gambling facilities at all times and could a customer be reasonably expected to recognise that the premises is licensed for betting? (SRCP 9.1) |
| Can the operator evidence policies, procedures and control measures to mitigate risks identified within the Local Risk Assessment? (SRCP 10) |
| Have the premises employees been sufficiently trained in the social responsibility code provisions and was any such training evidenced via logs/records? |